

Functional Data Structures

Exercise Sheet 3

Exercise 3.1 Insert with Less Comparisons

- Define a function *ins2* that inserts into a binary search tree, using only one comparison per node. Use the same idea as *isin2*.
- Show that your function is equal to *ins* on binary search trees. Hint: You may need an auxiliary lemma of the form:

$$\llbracket \text{bst } t; \forall x \in \text{set_tree } t. y < x \rrbracket \implies \text{ins2 } x (\text{Some } y) t = \dots$$

fun *ins2* :: “*a*::*linorder* \Rightarrow *a* *option* \Rightarrow *a* *tree* \Rightarrow *a* *tree*”

lemma *ins2_None*: “*bst* *t* \implies *ins2* *x* *None* *t* = *ins* *x* *t*”

Exercise 3.2 Height-Preserving In-Order Join

Write a function that joins two binary trees such that

- The in-order traversal of the new tree is the concatenation of the in-order traversals of the original tree
- The new tree is at most one higher than the highest original tree

Hint: Once you got the function right, proofs are easy!

fun *join* :: “*a* *tree* \Rightarrow *a* *tree* \Rightarrow *a* *tree*”

lemma “*inorder*(*join* *t1* *t2*) = *inorder* *t1* @ *inorder* *t2*”

lemma “*height*(*join* *t1* *t2*) \leq *max* (*height* *t1*) (*height* *t2*) + 1”

Exercise 3.3 Sorting with BSTs

- Define a function to create a binary search tree from a list. Hint: Use *fold*.
- Show that your function returns a binary search tree with the correct elements
- We define *bst_sort* as the in-order traversal of the tree created from a list. Show that it contains the right elements, is distinct, and sorted.

definition *mk_tree* :: “*'a::linorder list* \Rightarrow *'a tree*”

lemma *bst_mk_tree*: “*bst (mk_tree l)*”

lemma *set_mk_tree*: “*set_tree (mk_tree l) = set l*”

definition “*bst_sort l = inorder (mk_tree l)*”

lemma *bst_sort_set*: “*set (bst_sort l) = set l*”

lemma *bst_sort_sorted*: “*sorted (bst_sort l)*”

lemma *bst_sort_distinct*: “*distinct (bst_sort l)*”

Homework 3 BSTs with Duplicates

Submission until Friday, May 19, 11:59am.

- Have a look at *bst_eq* in `~/src/HOL/Library/Tree`, which defines BSTs with duplicate elements.
- Warmup: Show that *isin* and *ins* are also correct for *bst_eq*.

lemma “*bst_eq t* \implies *isin t x = (x \in set_tree t)*”

lemma *bst_eq_ins*: “*bst_eq t* \implies *bst_eq (ins x t)*”

- Define a function *ins_eq* to insert into a BST with duplicates.

fun *ins_eq* :: “*'a::linorder* \Rightarrow *'a tree* \Rightarrow *'a tree*”

- Show that *ins_eq* preserves the invariant *bst_eq*

lemma *bst_eq_ins_eq*: “*bst_eq t* \implies *bst_eq (ins_eq x t)*”

- Define a function *count_tree* to count how often a given element occurs in a tree

fun *count_tree* :: “*'a* \Rightarrow *'a tree* \Rightarrow *nat*”

- Show that the *ins_eq* function inserts the desired element, and does not affect other elements.

lemma “*count_tree x (ins_eq x t) = Suc (count_tree x t)*”

lemma “*x \neq y* \implies *count_tree y (ins_eq x t) = count_tree y t*”

The next exercise is a bonus exercise, yielding bonus points. Bonus points count as achieved points, but not for the maximum achievable points, when computing the percentage of the achieved homework points.

- Bonus (5p): Use BSTs with duplicates to sort a list (cf. Exercise 3). Prove that the resulted list is sorted, and contains exactly the same number of each element as the original list. Hint: Use a *count* function for lists, and relate it with the *count_tree*-function for trees.

definition *bst_eq_sort* :: “*'a::linorder list* \Rightarrow *'a list*”

theorem *count_bst_eq_sort*: “*count x (bst_eq_sort l) = count x l*”

theorem *sorted_bst_eq_sort*: “*sorted (bst_eq_sort l)*”