# **Cheat Sheet Equational Logic (Spring 2013)**

- The material given here summarizes those notions from the course's textbook [1] that occur frequently. The goal is to have them at hand, as a quick reminder at a single glance. References to [1] are given throughout.
- Contrary to textbook usage, we use gray text color to indicate side-remarks, i.e. additions that are formally necessary but clear in principle. We hope to keep your learning focussed by this device.
- Updates of the cheat sheet will be posted as we go along, so perhaps do not print it prematurely.

Enjoy rewriting terms!

## Terms

#### Inductive Construction

**Def. (3.1.1)** signature  $\Sigma$ : set of function symbols, each with associated non-negative arity (number of arguments). The subset of symbols with arity n is denoted by  $\Sigma^{(n)}$ . constants are nullary functions  $\Sigma^{(0)}$ .  $\Sigma$ 

**Def. (3.1.2)** For signature  $\Sigma$  and *variables* X (with  $\Sigma \cap X = \emptyset$  and X countably infinite), define the  $\Sigma$ -*terms* over X (written  $T(\Sigma, X)$ ) inductively

- Variables are terms:  $X \subseteq T(\Sigma, X)$
- Function application yields new terms: for t<sub>1</sub>... t<sub>n</sub> ∈ T(Σ, X) also f(t<sub>1</sub>... t<sub>n</sub>) ∈ T(Σ, X)

### **Positions: Denoting Subterms**

**Def. (3.1.3)** The *positions*  $\mathcal{P}os(s)$  of a term are sequences of integers, defined inductively along the term structure.  $\mathcal{P}os(s)$ 

- Variable terms  $s \in X$  have a single position  $\mathcal{P}os(s) := \{\varepsilon\}$
- Function application with arity *n* pre-prends child-positions with the child's index:

$$\mathcal{P}os(f(s_1 \dots s_n)) := \{\varepsilon\} \cup \bigcup_{i=1}^n \{ip | p \in \mathcal{P}os(s_i)\}$$

**Def. (3.1.3)** The *subterm* at position p in term s, written  $s|_p$  is defined by following the position-indexes **subterm** 

- $s|_{\varepsilon} := s$
- $f(s_1 \ldots s_n)|_{iq} := s_i|_q$

#### **Special Positions**

**Def. (3.1.3)** The position  $\varepsilon$  is called *root position*, the function or variable found there is the *root symbol.* root symbol.

| Def. (3.1.3) | Term variables occurring in s are written $Var(s)$ .                              |
|--------------|---|
| and defined  | by $\mathcal{V}ar(s) := \{x \in X   \exists p \in \mathcal{P}os(s). \ s _p = x\}$ |

**Def. (3.1.3)** A position with  $p \in \mathcal{P}os(s)$  with  $s|_p \in X$  is a variable position.

arity

root position root symbol variables occurring in

variable position

 $s|_p$ 

substitution

 $Sub(T(\Sigma, V))$ 

# **Manipulating Terms**

## Subterm Replacement

**Def. (3.1.3)** Replacing the subterm at p in s by t is written  $s[t]_p$  and defined by following the position and then replacing the subterm found there by the given term. By induction on the position:  $s[t]_p$ 

- $s[t]_{\varepsilon} := t$
- $f(s_1 \dots s_n)[t]_{iq} := f(s_1, \dots, s_i[t]_q, \dots, s_n)$

### Instantiation and Substitutions

#### Basics

**Def. (3.1.6)** A  $T(\Sigma, V)$ -substitution is a function

 $\sigma: V \to T(\Sigma, V)$ 

with  $\sigma(x) \neq x$  for only finitely many  $x \in V$ .

The set of all such substitutions is denoted by  $Sub(T(\Sigma, V))$  or simply Sub.

**Def. (3.1.6)** A substition  $\sigma$  (on variables) is *extended* to a mapping on terms  $\hat{\sigma}$ :  $T(\Sigma, V) \to T(\Sigma, V)$  **extended** along the term structure (i.e. inductively):  $\hat{\sigma}$ 

- $\hat{\sigma}(x) := \sigma(x)$
- $\hat{\sigma}(f(s_1 \dots s_n)) := f(\hat{\sigma}(s_1) \dots \hat{\sigma}(s_n))$

| <b>Def. (3.1.6)</b> The <i>composition</i> $\sigma\tau$ of substitutions is defined by $\sigma\tau(x) := \hat{\sigma}(\tau(x))$ . | composition                                  |
|---|--|
| We usually write $\sigma(t)$ for $\hat{\sigma}(t)$ , since it is clear from the context what is meant.                            | $\sigma	au\ \sigma(t)$ for $\hat{\sigma}(t)$ |
| <b>Def. (3.1.6)</b> t is an <i>instance</i> of s, written $t \gtrsim s$ , if for some substitution $\sigma(s) = t$ .              | instance $t \gtrsim s$                       |

#### **Properties of Substitutions**

| <b>Def. (3.1.6)</b> The <i>domain</i> $\mathcal{D}om(\sigma)$ is the set of variables not mapped to themselves  | domain                   |
|---|--------------------------|
| i.e. $\mathcal{D}om(\sigma) := \{x \in V   \sigma(x) \neq x\}$  | $\mathcal{D}om(\sigma)$  |
| For $x \in Dom(\sigma)$ , $\sigma$ instantiates $x$ .   | instantiates             |
| The range $\mathcal{R}an(\sigma)$ is the set of result terms of $\sigma$ , i.e. $\mathcal{R}an(\sigma) := \{\sigma(x)   x \in \operatorname{dom}(\sigma)\}.$                              | range                    |
| The variable range $\mathcal{VR}an(\sigma)$ is the set of variables in $\sigma$ 's range, i.e. $\mathcal{VR}an(\sigma) := \bigcup_{x \in \mathcal{D}am(\sigma)} \mathcal{V}ar(\sigma(x))$ |                          |
|   | variable range           |
|   | $\mathcal{VR}an(\sigma)$ |

## **Identities and Equality**

**Def. (3.1.7)** A  $\Sigma$ -*identity* is a pair of terms  $(s,t) \in T(\Sigma, V)$ , which we write  $s \approx t$ . *s* is the *left-hand side*, *t* is the *right-hand side*.

identity left-hand side right-hand side

reduction

## Reduction

#### **Basic Reduction Step**

Def. (3.1.8) For a set of identities E, reduction is a binary relation on terms with

$$s[\sigma(l)]_p \to_E s[\sigma(r)]_p$$

for suitable  $\sigma$ , p, and  $(l, r) \in E$ :

An instance of the left-hand side of some equation at some position is replaced by the corresponding instance of the right-hand side of the equation.

Formally, this can be written as

$$s \to_E t \text{ iff } \exists (l,r) \in E, p \in \mathcal{P}os(s), \sigma \in \mathcal{S}ub. \ s|_p = \sigma(l) \land t = s[\sigma(r)]_p$$

#### **Derived Reduction Relations**

The following definitions are not specific to the above notion of reduction, but capture the idea of "reduction" more abstractly as a binary relation. The definitions in [1] are therefore found earlier on, in  $\S2.1$ .

**Def.** (§2.1.1) For binary relations  $R \subseteq A \times B$  and  $S \subseteq B \times C$ , the *composition* of relations is defined as **composition** 

$$R \circ S := \{ (x, z) \in A \times C | \exists y \in B. \ (x, y) \in R \text{ and } (y, z) \in S \}$$

**Def. (2.1.1)** For a binary relation  $\rightarrow \subseteq (A \times A)$ , we define the following derived relations.

| $\begin{array}{rcl} \stackrel{0}{\rightarrow} & := & \{(x,x)   x \in A\} & \text{identity} \\ \stackrel{i+1}{\rightarrow} & := & \stackrel{i}{\rightarrow} \circ \rightarrow & (i+1) \text{-fold composition} \\ \hline \\ \stackrel{\overline{\rightarrow}}{\rightarrow} & := & \rightarrow \cup \stackrel{0}{\rightarrow} & \text{reflexive closure} \\ \stackrel{+}{\rightarrow} & := & \bigcup_{i>0} \stackrel{i}{\rightarrow} & \text{transitive closure} \\ \stackrel{*}{\rightarrow} & := & \stackrel{+}{\rightarrow} \cup \stackrel{0}{\rightarrow} & \text{reflexive transitive closure} \\ \hline \\ \overline{\phantom{aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa$  |  |                                 |   | basis: inductive definition   |
|--|--|---------------------------------|---|---|
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | $\stackrel{0}{\rightarrow}$  | :=                              | $\{(x,x) x\in A\}$  | identity  |
| $\begin{array}{rcl} \stackrel{=}{\rightarrow} & := & \rightarrow \cup \stackrel{0}{\rightarrow} & \text{reflexive closure} \\ \stackrel{+}{\rightarrow} & := & \bigcup_{i>0} \stackrel{i}{\rightarrow} & \text{transitive closure} \\ \stackrel{*}{\rightarrow} & := & \stackrel{+}{\rightarrow} \cup \stackrel{0}{\rightarrow} & \text{reflexive transitive closure} \\ \hline & & & & & & \\ \stackrel{-1}{\rightarrow} & := & \{(y, x)   x \rightarrow y\} & \text{inverse} \\ \leftarrow & & & & & & \\ \leftarrow & := & \stackrel{-1}{\rightarrow} & & \\ \leftrightarrow & & & & & & \\ \leftrightarrow & & & & & & \\ \stackrel{+}{\rightarrow} & & \\ +$ | $\stackrel{i+1}{\rightarrow}$  | :=                              | $\stackrel{i}{\rightarrow} \circ \rightarrow$   | (i+1)-fold composition  |
| $\begin{array}{llllllllllllllllllllllllllllllllllll$   |  |                                 |   | derived: multi-step   |
| $\begin{array}{rcl} \stackrel{+}{\rightarrow} & := & \bigcup_{i>0} \stackrel{i}{\rightarrow} & \text{transitive closure} \\ \stackrel{*}{\rightarrow} & := & \stackrel{+}{\rightarrow} \cup \stackrel{0}{\rightarrow} & \text{reflexive transitive closure} \\ \hline \\ \stackrel{-1}{\rightarrow} & := & \{(y,x) x \rightarrow y\} & \text{inverse} \\ \leftarrow & := & \stackrel{-1}{\rightarrow} & \\ \leftarrow & := & \stackrel{-1}{\rightarrow} & \\ \leftrightarrow & := & \rightarrow \cup \leftarrow & \text{symmetric closure} \\ \stackrel{+}{\leftrightarrow} & := & (\leftrightarrow)^{+} & \text{transitive symmetric closure} \\ \stackrel{*}{\leftrightarrow} & := & (\leftrightarrow)^{*} & \text{reflexive transitive symmetric closure} \end{array}$  | $\stackrel{=}{\rightarrow}$  | :=                              | $\rightarrow \cup \stackrel{0}{\rightarrow}$  | reflexive closure   |
| $ \begin{array}{lll} \stackrel{*}{\rightarrow} & := & \stackrel{+}{\rightarrow} \cup \stackrel{0}{\rightarrow} & \text{reflexive transitive closure} \\ \hline & & & \\ \stackrel{-1}{\rightarrow} & := & \{(y,x)   x \rightarrow y\} & \text{inverse} \\ \leftarrow & := & \stackrel{-1}{\rightarrow} & \\ \leftrightarrow & := & \rightarrow \cup \leftarrow & \text{symmetric closure} \\ \stackrel{+}{\leftrightarrow} & := & (\leftrightarrow)^{+} & \text{transitive symmetric closure} \\ \stackrel{*}{\leftrightarrow} & := & (\leftrightarrow)^{*} & \text{reflexive transitive symmetric closure} \\ \end{array} $   | $\stackrel{+}{\rightarrow}$  | :=                              | $\bigcup_{i>0} \stackrel{i}{\rightarrow}$   | transitive closure  |
| $\begin{array}{rcl} \stackrel{-1}{\rightarrow} & := & \{(y,x)   x \rightarrow y\} & \text{inverse} \\ \leftarrow & := & \stackrel{-1}{\rightarrow} \\ \leftrightarrow & := & \rightarrow \cup \leftarrow & \text{symmetric closure} \\ \stackrel{+}{\leftrightarrow} & := & (\leftrightarrow)^{+} & \text{transitive symmetric closure} \\ \stackrel{*}{\leftrightarrow} & := & (\leftrightarrow)^{*} & \text{reflexive transitive symmetric closure} \end{array}$   | *,   |                                 | + 0   |   |
| $\begin{array}{rcl} \stackrel{-1}{\rightarrow} & := & \{(y,x) x \rightarrow y\} & \text{inverse} \\ \leftarrow & := & \stackrel{-1}{\rightarrow} & \\ \leftrightarrow & := & \rightarrow \cup \leftarrow & \text{symmetric closure} \\ \stackrel{+}{\leftrightarrow} & := & (\leftrightarrow)^+ & \text{transitive symmetric closure} \\ \stackrel{*}{\leftrightarrow} & := & (\leftrightarrow)^* & \text{reflexive transitive symmetric closure} \end{array}$   | $\rightarrow$  | :=                              | $\rightarrow \cup \rightarrow$  | reflexive transitive closure  |
| $\begin{array}{llllllllllllllllllllllllllllllllllll$   | →<br>  | :=                              | $\rightarrow \cup \tilde{\rightarrow}$  | retiexive transitive closure  |
| $\leftrightarrow$ $:=$ $\rightarrow \cup \leftarrow$ symmetric closure $\stackrel{+}{\leftrightarrow}$ $:=$ $(\leftrightarrow)^+$ transitive symmetric closure $\stackrel{*}{\leftrightarrow}$ $:=$ $(\leftrightarrow)^*$ reflexive transitive symmetric closure   | $ \xrightarrow{-1} \rightarrow $   | :=<br><br>:=                    |   | reflexive transitive closure  |
| $ \begin{array}{lll} \stackrel{+}{\leftrightarrow} & := & (\leftrightarrow)^+ & & \text{transitive symmetric closure} \\ \stackrel{*}{\leftrightarrow} & := & (\leftrightarrow)^* & & \text{reflexive transitive symmetric closure} \end{array} $  | $ \begin{array}{c} \rightarrow \\ \hline -1 \\ \rightarrow \\ \leftarrow \end{array} $   | :=<br><br>:=<br>:=              | $ \begin{array}{c} \rightarrow \cup \rightarrow \\ \\ (y,x) x \rightarrow y \\ \\ \hline \rightarrow \\ \end{array} $ | reflexive transitive closure  |
| $\stackrel{*}{\leftrightarrow}$ := $(\leftrightarrow)^*$ reflexive transitive symmetric closure  | $ \begin{array}{c} \rightarrow \\ \hline -1 \\ \rightarrow \\ \leftarrow \\ \leftrightarrow \end{array} $  | :=<br><br>:=<br>:=<br>:=        |   | reflexive transitive closure<br>derived: symmetric / reduction with reverse equations |
|  | $ \begin{array}{c} \rightarrow \\ \hline -1 \\ \rightarrow \\ \leftarrow \\ \leftrightarrow \\ \leftrightarrow \\ \leftrightarrow \\ \leftrightarrow \end{array} $ | :::<br>:::<br>:::<br>:::<br>::: |   | reflexive transitive closure<br>  |

## Termination

### Notation and terminology for multi-step reductions

Def. (2.1.2)

| 1. x is <i>reducible</i> iff there is y with $x \to y$                              | reducible  |
|---|--|
| 2. x is in normal form (irreducible) iff it is not reducible                        | in normal form   |
| 3. $y$ is a normal form of $x$ iff $x \xrightarrow{*} y$ and $y$ is in normal form. | irreducible  |
| 4. If x has a unique normal form, we denote it by $x \downarrow$ .                  | $\begin{array}{c} a \text{ formal form of } \\ x \downarrow \end{array}$ |
| 5. y is a <i>direct successor</i> of x if $x \to y$ .                               | direct successor   |
| 6. $y$ is a successor of $x$ iff $x \xrightarrow{+} y$ .                            | successor  |
|   |  |

**Def. (2.1.3)** A reduction  $\rightarrow$  is

- 1. *terminating* iff there is *no infinite* descending chain  $t_0 \rightarrow t_1 \rightarrow \cdots$
- 2. normalizing every term has a normal form

Note: "normalizing" is weaker than "terminating", because "normalizing" only requires the *existence* of a finite reduction sequence from each element, while "termininating" requires that *all* such reduction sequences are finite.

### Well-founded induction

Idea: treat a terminating reduction  $\rightarrow$  as a well-founded relation / order on the terms, i.e. consider  $\stackrel{+}{\rightarrow}$  as an order >.

Usual well-founded induction principle for (A, <): Show that P(y) under the assumption that P already holds for smaller elements x.

$$\frac{\forall y \in A. \; (\forall x \in A. \; x < y \Rightarrow P(x)) \Rightarrow P(y)}{\forall x \in A. \; P(x)}$$

Transfer to terminating reductions by considering successors as "smaller" (because they require fewer steps until they reach a normal form).

$$\frac{\forall s \in T. \ (\forall t \in T. \ s \xrightarrow{+} t \Rightarrow P(t)) \Rightarrow P(s)}{\forall s \in T. \ P(s)}$$

### **Finitely Branching Reductions**

**Def. (2.2.3)** A reduction  $\rightarrow$  is

- 1. finitely branching if each element has finitely many direct successors
- 2. globally finite if each element has only finitely many successors
- 3. *acyclic* if for no element t we have  $t \xrightarrow{+} t$

finitely branching globally finite acyclic

terminating

normalizing

## Proving Termination

#### Lexicographic Extensions of Orders

**Def.** (§2.4) For two strict orders  $(A, >_A)$  and  $(B, >_B)$  define the *lexicographic product*  $>_{A \times B}$  on  $A \times B$ lexicographic product by  $(x,y) >_{A \times B} (x',y')$  iff  $(x >_A x') \lor (x = x' \land y >_B y')$ 

**Def.** (§2.4) By extension, the lexicographic product of *n* orders  $(A_i, >_i)$  is given by

$$(x_1 \dots x_n) > (y_1 \dots y_n) \quad \text{iff} \quad \exists k \le n. (\forall i < k. x_i = y_i) \land x_k > y_k$$

If all  $A_i$  are the same, we write  $>_{lex}^n$  for this relation.

**Def.** (§2.4) By extension, we define a lexicographic order on strings of different lengths by

$$u >_{lex}^{*} v$$
 iff  $(|u| > |v|) \lor (|u| = |v| \land u >_{lex}^{|u|} v)$ 

**Def. (3.1.3)** Given a strict order (A, >), the *prefix order*  $>_{prefix}$  on strings  $A^*$  is defined by

$$u >_{nrefix} v$$
 iff  $\exists u' \neq \varepsilon. \ u = vu'$ 

**Def.** (§2.4) Given a strict order (A, >) define  $>_{Lex}$  as

 $u >_{Lex} v$  iff  $u >_{prefix} v \lor (\exists wu'v'ab.u = wau' \land v = wbv' \land a > b$ 

i.e. either v is a proper prefix of u or they share a common prefix w and the first symbol after w is greater in u than in v.

*Note:* even for terminating >, the order  $>_{Lex}$  need not be terminating (example: lecture).

#### **Multiset Orders**

multiset **Def. (2.5.1)** For set A, a *multiset* over A is a function  $M: A \to \mathbb{N}$ (which for  $a \in A$  gives the number of occurrences of a in M). A multiset *M* is *finite* if there are finitely many  $a \in A$  with M(a) > 0. finite The set of all finite multisets over A is denoted by  $\mathcal{M}(A)$ .

**Def.** (2.5.2) Define the basic operations on multisets as follows:

iff M(x) > 0 $x \in M$  $M \subseteq N$ iff  $\forall x \in A.M(x) \leq N(x)$  $(M \cup N)(x) \quad := M(x) + N(x)$ (M-N)(x) := M(x) - N(x)where  $m \doteq n$  is m - n if  $m \ge n$  and is 0 otherwise

**Def. (2.5.3)** For given strict order (A, >), define the *multiset order*  $>_{mul}$  on  $\mathcal{M}(A)$  as

 $M >_{mul} N$  iff  $\exists X, Y \in \mathcal{M}(A)$ .  $X \neq \emptyset \land X \subseteq M \land$ a non-empty multiset from M is removed and replaced by a multiset  $N = (M - Y) \cup Y \land$  $\forall y \in Y. \ \exists x \in X. \ x > y$ whose elements are bounded by those removed multiset order  $>_{mul}$ 

prefix order  $>_{prefix}$ 

 $>_{Lex}$ 

 $>_{A \times B}$ 

 $>_{lex}^{n}$ 

compatible

stitutions

 $\Sigma$ -operations

closed under sub-

reduction order

subterm property

 $s \ge_{emb} t$ 

with

### **Reduction- and Simplification Orders**

**Def. (5.2.1)** For signature  $\Sigma$  and (countably infinite) set of variables V, a strict order > on  $T(\Sigma, V)$  is called a *rewrite order* iff it is **rewrite order** 

• *compatible with*  $\Sigma$ *-operations*, i.e. for terms  $s, s', t_i$  and n-ary symbol  $f \in \Sigma s > s'$  implies

 $f(t_1 \dots t_{i-1}, s, t_{i+1} \dots t_n) > f(t_1 \dots t_{i-1}, s', i+1_n \dots i+1)$ 

• *closed under substitutions*, i.e. for terms *s*, *t* and substitutions  $\sigma$ , *s* > *t* implies  $\hat{\sigma}(s) > \hat{\sigma}(t)$ .

A reduction order is a well-founded rewrite order.

Note: we could have replaced the first condition equivalently by: > must be *closed under contexts*, i.e. **closed under con** s > s' implies  $t[s]_p > t[s']_p$  (for any position p in t). **texts** 

Note (Theorem 5.2.3) that a rewriting system is terminating iff there is a reduction order > satisfying l > r iff for all rewrite rules  $l \rightarrow r$ .

**Def. (5.4.1)** A strict order > on  $T(\Sigma, V)$  is called a *simplification order* iff it is a rewrite order and satisfies simplification order the *subterm property*: for term t and position  $p \in \mathcal{P}os(t) \setminus \{\varepsilon\}$  we have  $t > t|_p$ .

Equivalently, we could have required that for *n*-ary function symbols f and variables  $x_1 \dots x_n$ , we have  $f(x_1 \dots x_n) > x_i$  for all  $1 \le i \le n$ .

Note (Theorem 5.4.8): Every simplification order is a reduction order. However, the converse is not true, i.e. not all reduction orders are also simplification orders.

#### **Simplification Orders are Reduction Orders**

**Def. (5.4.3)** A partial order  $\succeq$  on a set A is a *well-partial-order* (*wpo*) iff for every infinite sequence **well-partial-order**  $a_1, a_2, a_3, \ldots$  of elements of A there are indices i < j with  $a_i \preceq a_j$ .

**Def. (5.4.2)** The *homeomorphic embedding* is a relation on terms  $T(\Sigma, X)$  with  $s \ge_{emb} t$  iff one of the **homeomorphic** embedding following conditions hold:

1. s = x = t for a variable  $x \in X$ 

- 2.  $s = f(s_1 \dots s_n), t = f(t_1 \dots t_n)$  and  $s_i \succeq_{emb} t_i$  for all  $1 \le i \le n$
- 3.  $s = f(s_1 \dots s_n)$  and  $s_j \succeq_{emb} t$  for some  $1 \le j \le n$

## Lexicographic Path Orders

**Def. (5.4.12)** For a finite signature  $\Sigma$  and a strict order > on  $\Sigma$ , the *lexicographic path order*  $>_{lpo}$  on **lexicographic** rems over  $\Sigma$  is defined by  $s >_{lpo} t$  iff path order

(LPO1)  $t \in Var(s)$  and  $s \neq t$ , or (LPO2)  $s = f(s_1 \dots s_m)$ ,  $t = g(t_1 \dots t_n)$  and (LPO2a) there is i with  $s_i >_{lpo} t$ , or (LPO2b) f > g and  $s >_{lpo} t_j$  for all  $1 \leq j \leq n$ , or (LPO2c) f = g,  $s >_{lpo} t_j$  for all  $1 \leq j \leq n$  and there is  $1 \leq i \leq m$  with  $s_1 = t_1, \dots, s_{i-1} = t_{i-1}$  and  $s_i >_{lpo} t_i$ 

**Def.** (§5.4.2, p. 121) In the setting of the previous definition, the *multiset path order*  $>_{mpo}$  induced by the **multiset path or**-strict order > is defined in the same way as the lexicographic path order, except that in clause (LPO2c) **der** the lexicographic comparison of children is replaced by a multiset order:

 $\begin{aligned} f &= g, \, s >_{mpo} t_j \text{ for all } 1 \leq j \leq n \text{ and} \\ \{s_1 \dots \, s_n\} >_{mul} \{t_1 \dots \, t_n\}. \end{aligned}$ 

For the *recursive path order with status*, each function symbol is assigned a *status* that decides whether its child terms are to be compared lexicographically or as multisets. **der with status status** 

### **Knuth-Bendix Orders**

**Def.** (§5.4.4) For a finite signature  $\Sigma$ , variable set V, and strict order > on  $\Sigma$ 

a weight function  $w \colon \Sigma \cup V \to \mathbb{R}^+_0$  is admissible for > iff it satisfies the properties:

- 1. For all variables  $x \in V$ ,  $w(x) = w_0$  for some  $w_0 > 0$  and for all constants  $c \in \Sigma^{(0)}$  we have  $w(c) \ge w_0$ .
- 2. If f is a unary function (in  $\Sigma^{(1)}$ ) and w(f) = 0, then f is the greatest element in  $\Sigma$ , i.e.  $f \ge g$  for all  $g \in \Sigma$ .

Let  $|t|_x$  and  $|t|_f$  denote the number of occurrences of variable x and symbol f, respectively, in term t.  $|t|_x$ We extend the weight function w to terms directly by considering the number of occurrences of each  $|t|_f$  symbol/variable and its respective weight.

$$w(t) := \sum_{x \in \mathcal{V}\!ar(t)} w(x) \cdot |t|_x \ + \ \sum_{f \in \Sigma} w(f) \cdot |t|_f$$

**Def. (5.4.18)** For finite signature  $\Sigma$  with strict order > and (admissible) weight function  $w \colon \Sigma \cup V \to \mathbb{R}^+_0$ , the *Knuth-Bendix order*  $>_{kbo}$  is defined by  $s >_{kbo} t$  iff

Knuth-Bendix order

weight function

(KBO1)  $|s|_x \ge |t|_x$  for all  $x \in V$  and w(s) > w(t) or (KBO2)  $|s|_x \ge |t|_x$  for all  $x \in V$  and w(s) = w(t), and

> (KBO2a)  $s = f^n(x)$  and t = x for some unary symbol f and variable x, or (KBO2b)  $s = f(s_1 \dots s_m)$ ,  $t = g(t_1 \dots t_m)$  and f > g, or (KBO2c)  $s = f(s_1 \dots s_n)$  and  $t = f(t_1 \dots t_n)$ , and for some  $1 \le i \le n$ :  $s_1 = t_1 \dots s_{i-1} = t_{i-1}$  and  $s_i >_{kbo} t_i$ .

## **Polynomial Interpretations**

**Def. (3.2.1)** For a given signature  $\Sigma$ , a  $\Sigma$ -algebra consists of a carrier set A and a mapping from function  $\Sigma$ -algebra symbols  $f \in \Sigma^{(n)}$  to functions  $f^{\mathcal{A}} \colon A^n \to A$  (for all  $n \ge 0$ ).

**Def. (5.3.1)** For non-empty  $\Sigma$ -algebra  $\mathcal{A}$  and well-founded strict order > on the carrier set A, the order  $>_{\mathcal{A}}$  on terms is defined by

 $s >_{\mathcal{A}} t$  iff  $\pi(s) > \pi(t)$  for all homomorphims  $\pi$ 

Note: the homomorphism is determined by it result for the variables, i.e. we are really working with *valuations*  $\pi: V \to A$  that are extended to terms in the obvious way: **valuations** 

$$\hat{\pi}(x) = \pi(x)$$
$$\hat{\pi}(f(s_1 \dots s_n)) = f(\hat{\pi}(s_1) \dots \hat{\pi}(s_n))$$

**Def. (5.3.2)** A function  $F : A^n \to A$  is called *monotone* (w.r.t. a strict order > on A) iff for all  $1 \le i \le n$  monotone

a > b implies  $F(a_1 \dots a_{i-1}, a, a_{i+1} \dots a_n) > F(a_1 \dots a_{i-1}, b, a_{i+1} \dots a_n)$ 

| <b>Def. (5.3.4)</b> A <i>polynomial interpretation</i> of $\Sigma$ is a $\Sigma$ -algebra $\mathcal{A}$ for which   | polynomial         | inter-          |
|---|--------------------|-----------------|
| 1. The carrier set is $A \subseteq \mathbb{N} - \{0\}$  |                    |                 |
| 2. Each function symbol $f \in \Sigma^{(n)}$ is mapped to a polynomial $P_f(X_1 \dots X_n) \in \mathbb{N}[X_1 \dots X_N]$ .<br>The interpretation $f^{\mathcal{A}}$ is given by the evaluation of $P_f$ , i.e. $f^{\mathcal{A}}(a_1 \dots a_n) := P_f(a_1 \dots a_n)$ |                    |                 |
| <b>Def. (5.3.6)</b> A polynomial $P(X_1 \dots X_n) \in \mathbb{N}[X_1 \dots X_n]$ is a <i>monotone polynomial</i> iff it depends on all its indeterminates (i.e. each indeterminate occurs in at least one monomial (with a non-zero exponent)).                      | monotone<br>nomial | poly-           |
| A <i>monotone polynomial interpretation</i> is a polynomial interpretation where all function symbols are associated with monotone polynomials.   |                    | poly-<br>preta- |
| <b>Def.</b> (§5.3. p. 107) The reduction order induced by a monotone polynomial interpretation is called a  |                    |                 |

**Def.** (§5.3, p. 107) The reduction order induced by a monotone polynomial interpretation is called a *polynomial order*.

# Confluence

## **Basic Definitions**

| <b>Def.</b> (§2.1.1) $x$ and $y$ are <i>joinable</i> , written $x \downarrow y$ , iff there is a $z$ such that $x \stackrel{*}{\rightarrow} z \stackrel{*}{\leftarrow} y$ .  | joinable                           |
|--|------------------------------------|
| Def. (2.1.3) A reduction $\rightarrow$ is calledChurch Rosseriff $x \stackrel{*}{\leftrightarrow} y \Rightarrow x \downarrow y$ confluentiff $y_1 \stackrel{*}{\leftarrow} x \stackrel{*}{\rightarrow} y_2 \Rightarrow y_1 \downarrow y_2$ convergentiffit is both confluent and terminating | Church Rosser<br>confluent         |
| <b>Def. (2.1.4)</b> A reduction $\rightarrow$ is <i>semi-confluent</i> iff $y_1 \leftarrow x \xrightarrow{*} y \Rightarrow y_1 \downarrow y_2$   | semi-confluent                     |
| <b>Def. (2.7.1)</b> A reduction $\rightarrow$ is <i>locally confluent</i> iff $y_1 \leftarrow x \rightarrow y_2 \Rightarrow y_1 \downarrow y_2$ .  | locally confluent                  |
| Syntactic Unification  |                                    |
| <b>Def. (4.5.1)</b> A substitution $\sigma$ is <i>more general</i> than substitution $\sigma'$ , written $\sigma \leq \sigma'$ , if $\sigma' = \delta \sigma$ for some $\delta$ .<br>Then $\sigma'$ is an <i>instance</i> of $\sigma$ .  | more general $\stackrel{<}{_\sim}$ |
| Write $\sigma \sim \sigma'$ if $\sigma \lesssim \sigma'$ and $\sigma' \lesssim \sigma$ .   | instance $\sim$                    |
| <b>Def.</b> (§4.5) An substitution $\sigma$ is a <i>renaming</i> if $\sigma$ is injective and $Ran(\sigma) \subseteq V$ .  | renaming                           |
| <b>Def. (4.5.4)</b> A unification problem is a set of equations $S = \{s_1 = t_1 \dots s_n = t_n\}$ .<br>A substitution $\sigma$ is a unifier or solution of $S$ if $\sigma s_i = \sigma t_i$ for all $i = 1, \dots, n$ .  | unification prob-<br>lem           |
| The set of unifiers of S is denoted by $\mathcal{U}(S)$ .  | unifier                            |
| $\sigma$ is a <i>most general unifier (mgu</i> ) of <i>S</i> if $\sigma \in \mathcal{U}(S)$ and $\sigma \lesssim \sigma'$ for all $\sigma' \in \mathcal{U}(S)$ .   | $\mathcal{U}(S)$                   |
| Critical Pairs   | most general uni-<br>fier<br>mgu   |
| <b>Def. (3.1.3)</b> Define the <i>prefix order</i> on positions $p \le q$ iff as an integer-string, $p$ is a prefix of $q$ i.e. there exists $p'$ such that $q = pp'$  | prefix order $p \leq q$            |
| $p$ is above $q$ if $p \leq q$ . Analogously: below.   | above                              |
| By extension: <i>strictly above</i> for $p < q$ and <i>strictly below</i> .  | below<br>strictly above            |
| Positions $p$ , $q$ are <i>parallel</i> (written $p \mid \mid q$ ) if they are incomparable by $\leq$  | strictly below                     |
| <b>Def.</b> (6.2.1) Let $l_i \rightarrow r_i$ , $i = 1, 2$ be two rules with disjoint variables $(\mathcal{V}ar(l_1, r_1) \cap \mathcal{V}ar(l_2, r_2) = \emptyset$ , if necessary by renaming).   | <b>parallel</b> $p \mid\mid q$     |
| Then let $p \in \mathcal{P}os(l_1)$ with $l_1 _p$ not a variable. Let $\theta$ be an mgu of $l_1 _p = l_2$ . In this case, we say that the two rules <i>overlap</i> .  | overlap                            |
| The <i>critical pair</i> given by this overlap is $(\theta r_2, (\theta l_1)[\theta r_2]_p)$ .   | critical pair                      |
| The critical pairs of between any two rules of a TBS $R$ are denoted by $CP(R)$ . Overlaps with renamed  |                                    |

The critical pairs of between any two rules of a TRS R are denoted by CP(R). Overlaps with revariants of rules with themselves are included, except at the root position.

# References

[1] Franz Baader and Tobias Nipkow. *Term rewriting and all that*. Cambridge University Press, Cambridge, 1998.