# **Cheat Sheet Equational Logic (Spring 2013)**

- The material given here summarizes those notions from the course's textbook [1] that occur frequently. The goal is to have them at hand, as a quick reminder at a single glance. References to [1] are given throughout.
- Contrary to textbook usage, we use gray text color to indicate side-remarks, i.e. additions that are formally necessary but clear in principle. We hope to keep your learning focussed by this device.
- Updates of the cheat sheet will be posted as we go along, so perhaps do not print it prematurely.

*Enjoy rewriting terms!*

## **Terms**

## **Inductive Construction**

**Def. (3.1.1)** *signature* Σ: set of function symbols, each with associated non-negative *arity* (number of **signature** Σ arguments). The subset of symbols with arity  $n$  is denoted by  $\Sigma^{(n)}.$  *constants* are nullary functions  $\Sigma^{(0)}.$ 

**Def. (3.1.2)** For signature  $\Sigma$  and *variables* X (with  $\Sigma \cap X = \emptyset$  and X countably infinite), define the Σ-*terms* over X (written T(Σ, X)) inductively

- Variables are terms:  $X \subseteq T(\Sigma, X)$
- Function application yields new terms: for  $t_1 \ldots t_n \in T(\Sigma, X)$  also  $f(t_1 \ldots t_n) \in T(\Sigma, X)$

## **Positions: Denoting Subterms**

**Def. (3.1.3)** The *positions* Pos(s) of a term are sequences of integers, defined inductively along the **positions**  $Pos(s)$ term structure.

- Variable terms  $s \in X$  have a single position  $\mathcal{P}os(s) := \{\varepsilon\}$
- Function application with arity  $n$  pre-prends child-positions with the child's index:

$$
\mathcal{P}os(f(s_1 \ldots s_n)) := \{\varepsilon\} \cup \bigcup_{i=1}^n \{ip|p \in \mathcal{P}os(s_i)\}\
$$

**Def. (3.1.3)** The *subterm* at position  $p$  in term  $s$ , written  $s|_p$  is defined by following the position-indexes **subterm** 

- $s|_{\varepsilon} := s$
- $f(s_1 \ldots s_n)|_{iq} := s_i|_q$

#### **Special Positions**

**Def. (3.1.3)** The position  $\varepsilon$  is called *root position*, the function or variable found there is the *root symbol.* **root position** 



**Def. (3.1.3)** A position with  $p \in Pos(s)$  with  $s|_p \in X$  is a *variable position*. **variable position** 

 $s|_p$ 

**arity**

 $\Sigma^{(n)}$ **constants**

**variables**

**terms**  $T(\Sigma, X)$ 

**root symbol**

# **Manipulating Terms**

### **Subterm Replacement**

**Def. (3.1.3)** *Replacing* the subterm at p in s by t is written  $s[t]_p$  and defined by following the position and **Replacing**  $s[t]_p$ then replacing the subterm found there by the given term. By induction on the position:

- $s[t]_\varepsilon := t$
- $f(s_1 \ldots s_n)[t]_{iq} := f(s_1, \ldots, s_i[t]_q, \ldots, s_n)$

### **Instantiation and Substitutions**

#### **Basics**

**Def. (3.1.6)** A  $T(\Sigma, V)$ -*substitution* is a function **substitution substitution** 

 $\sigma: V \to T(\Sigma, V)$ 

with  $\sigma(x) \neq x$  for only finitely many  $x \in V$ .

The set of all such substitutions is denoted by  $Sub(T(\Sigma, V))$  or simply  $Sub$ .  $Sub(T(\Sigma, V))$ 

**Def.** (3.1.6) A substition  $\sigma$  (on variables) is *extended* to a mapping on terms  $\hat{\sigma}$ :  $T(\Sigma, V) \to T(\Sigma, V)$  extended along the term structure (i.e. inductively):  $\hat{\sigma}$ 

- $\bullet \hat{\sigma}(x) := \sigma(x)$
- $\hat{\sigma}(f(s_1 \ldots s_n)) := f(\hat{\sigma}(s_1) \ldots \hat{\sigma}(s_n))$



#### **Properties of Substitutions**



## **Identities and Equality**

**Def. (3.1.7)** A  $\Sigma$ -*identity* is a pair of terms  $(s, t) \in T(\Sigma, V)$ , which we write  $s \approx t$ . **identity** s is the *left-hand side*, t is the *right-hand side*.

**left-hand side right-hand side**

## **Reduction**

### **Basic Reduction Step**

**Def. (3.1.8)** For a set of identities E, *reduction* is a binary relation on terms with **reduction** 

$$
s[\sigma(l)]_p \to_E s[\sigma(r)]_p
$$

for suitable  $\sigma$ , p, and  $(l, r) \in E$ :

An instance of the left-hand side of some equation at some position is replaced by the corresponding instance of the right-hand side of the equation.

Formally, this can be written as

$$
s \to_E t \text{ iff } \exists (l, r) \in E, p \in \mathcal{P}os(s), \sigma \in Sub. s|_p = \sigma(l) \land t = s[\sigma(r)]_p
$$

#### **Derived Reduction Relations**

The following definitions are not specific to the above notion of reduction, but capture the idea of "reduction" more abstractly as a binary relation. The definitions in [1] are therefore found earlier on, in §2.1.

**Def. (**§**2.1.1)** For binary relations R ⊆ A × B and S ⊆ B × C, the *composition* of relations is defined as **composition**

 $R \circ S := \{(x, z) \in A \times C | \exists y \in B \ldotp (x, y) \in R \text{ and } (y, z) \in S \}$ 

**Def. (2.1.1)** For a binary relation  $\rightarrow \subseteq (A \times A)$ , we define the following derived relations.



## **Termination**

### **Notation and terminology for multi-step reductions**

#### **Def. (2.1.2)**



#### **Def. (2.1.3)** A reduction  $\rightarrow$  is

- 1. *terminating* iff there is *no infinite* descending chain  $t_0 \rightarrow t_1 \rightarrow \cdots$  **normalizing**
- 2. *normalizing* every term *has a* normal form

Note: "normalizing" is weaker than "terminating", because "normalizing" only requires the *existence* of a finite reduction sequence from each element, while "termininating" requires that *all* such reduction sequences are finite.

### **Well-founded induction**

Idea: treat a terminating reduction  $\rightarrow$  as a well-founded relation / order on the terms, i.e. consider  $\rightarrow \infty$ an order >.

Usual well-founded induction principle for  $(A, \langle \cdot \rangle)$ : Show that  $P(y)$  under the assumption that P already holds for smaller elements  $x$ .

$$
\forall y \in A. \ (\forall x \in A. \ x < y \Rightarrow P(x)) \Rightarrow P(y)
$$
\n
$$
\forall x \in A. \ P(x)
$$

Transfer to terminating reductions by considering successors as "smaller" (because they require fewer steps until they reach a normal form).

$$
\frac{\forall s \in T. \ (\forall t \in T. \ s \stackrel{+}{\to} t \Rightarrow P(t)) \Rightarrow P(s)}{\forall s \in T. \ P(s)}
$$

### **Finitely Branching Reductions**

**Def. (2.2.3)** A reduction  $\rightarrow$  is

- 1. *finitely branching* if each element has finitely many *direct* successors **globally finite**
- 2. *globally finite* if each element has only finitely many successors **acyclic**
- 3. *acyclic* if for no element  $t$  we have  $t \stackrel{+}{\rightarrow} t$

**finitely branching**

**terminating**



## **Proving Termination**

#### **Lexicographic Extensions of Orders**

**Def.** (§2.4) For two strict orders  $(A, >_A)$  and  $(B, >_B)$  define the *lexicographic product*  $>_{A \times B}$  on  $A \times B$  **lexicographic product**  $>_{A\times B}$ by  $(x, y) >_{A \times B} (x', y')$  iff  $(x >_A x') \vee (x = x' \wedge y >_B y')$ 

**Def.** (§2.4) By extension, the lexicographic product of  $n$  orders  $(A_i, >_i)$  is given by

$$
(x_1 \ldots x_n) > (y_1 \ldots y_n) \quad \text{iff} \quad \exists k \le n. (\forall i < k. x_i = y_i) \land x_k > y_k
$$

If all  $A_i$  are the same, we write  $>_{lex}^n$  for this relation.

**Def. (**§**2.4)** By extension, we define a lexicographic order on strings of different lengths by

$$
u >_{lex}^* v \quad \text{iff} \quad (|u| > |v|) \vee (|u| = |v| \wedge u >_{lex}^{|u|} v)
$$

**Def. (3.1.3)** Given a strict order (A, >), the *prefix order* ><sub>prefix</sub> on strings A<sup>∗</sup> is defined by **prefix order** 

$$
u >_{prefix} v
$$
 iff  $\exists u' \neq \varepsilon$ .  $u = vu'$ 

**Def.** (§2.4) Given a strict order  $(A,>)$  define  $>_{Lex}$  as

 $u >_{Lex} v$  iff  $u >_{prefix} v \vee (\exists w u' v' ab.u = wau' \wedge v = wbv' \wedge a > b$ 

i.e. either  $v$  is a proper prefix of  $u$  or they share a common prefix  $w$  and the first symbol after  $w$  is greater in  $u$  than in  $v$ .

*Note:* even for terminating  $>$ , the order  $>_{Lex}$  need not be terminating (example: lecture).

#### **Multiset Orders**

**Def. (2.5.1)** For set A, a *multiset* over A is a function  $M: A \to \mathbb{N}$  multiset (which for  $a \in A$  gives the number of occurrences of a in M). A multiset M is *finite* if there are finitely many  $a \in A$  with  $M(a) > 0$ . **finite** The set of all finite multisets over A is denoted by  $\mathcal{M}(A)$ .

**Def. (2.5.2)** Define the basic operations on multisets as follows:

 $x \in M$  iff  $M(x) > 0$  $M \subseteq N$  iff  $\forall x \in A.M(x) \leq N(x)$  $(M \cup N)(x) := M(x) + N(x)$  $(M - N)(x) := M(x) - N(x)$ where  $m - n$  is  $m - n$  if  $m \geq n$  and is 0 otherwise

PROVING TERMINATION

 $>_{prefix}$ 

$$
>_{Lex}
$$

 $>_{lex}^n$ 

**Def. (2.5.3)** For given strict order 
$$
(A, >)
$$
, define the *multiset order*  $>_{mul}$  on  $\mathcal{M}(A)$  as **multiset order**

 $>_{mul}$ 

**compatible with**

**closed under sub-**

**subterm property**

 $s \geq_{emb} t$ 

### **Reduction- and Simplification Orders**

**Def.** (5.2.1) For signature  $\Sigma$  and (countably infinite) set of variables V, a strict order  $>$  on  $T(\Sigma, V)$  is called a *rewrite order* iff it is **rewrite order**

• *compatible with*  $\Sigma$ -operations, i.e. for terms s, s', t<sub>i</sub> and n-ary symbol  $f \in \Sigma s > s'$  implies  $\Sigma$ -operations

 $f(t_1 \ldots t_{i-1}, s, t_{i+1} \ldots t_n) > f(t_1 \ldots t_{i-1}, s', i+1_n \ldots i+1)$ 

• *closed under substitutions*, i.e. for terms  $s, t$  and substitutions  $\sigma, s > t$  implies  $\hat{\sigma}(s) > \hat{\sigma}(t)$ . **stitutions** 

A *reduction order* is a well-founded rewrite order. **reduction order**

Note: we could have replaced the first condition equivalently by: > must be *closed under contexts*, i.e. **closed under con** $s > s'$  implies  $t[s]_p > t[s']_p$  (for any position p in t).

Note (Theorem 5.2.3) that a rewriting system is terminating iff there is a reduction order  $>$  satisfying  $l > r$  iff for all rewrite rules  $l \rightarrow r$ .

**Def.** (5.4.1) A strict order > on  $T(\Sigma, V)$  is called a *simplification order* iff it is a rewrite order and satisfies **simplification or**the *subterm property*: for term t and position  $p \in Pos(t) \setminus \{\varepsilon\}$  we have  $t > t|_p$ .

Equivalently, we could have required that for n-ary function symbols f and variables  $x_1 \ldots x_n$ , we have  $f(x_1 \ldots x_n) > x_i$  for all  $1 \leq i \leq n$ .

Note (Theorem 5.4.8): Every simplification order is a reduction order. However, the converse is not true, i.e. not all reduction orders are also simplification orders.

#### **Simplification Orders are Reduction Orders**

**Def.** (5.4.3) A partial order  $\succeq$  on a set A is a *well-partial-order* (*wpo*) iff for every infinite sequence well-partial-order  $a_1, a_2, a_3, \ldots$  of elements of A there are indices  $i < j$  with  $a_i \preceq a_j$ .

**Def.** (5.4.2) The *homeomorphic embedding* is a relation on terms  $T(\Sigma, X)$  with  $s \triangleright_{emb} t$  iff one of the **homeomorphic embedding** following conditions hold:

1.  $s = x = t$  for a variable  $x \in X$ 

- 2.  $s = f(s_1 \dots s_n)$ ,  $t = f(t_1 \dots t_n)$  and  $s_i \trianglerighteq_{emb} t_i$  for all  $1 \leq i \leq n$
- 3.  $s = f(s_1 \ldots s_n)$  and  $s_i \geq_{emb} t$  for some  $1 \leq j \leq n$

### **Lexicographic Path Orders**

**Def. (5.4.12)** For a finite signature Σ and a strict order > on Σ, the *lexicographic path order* ><sub>lpo</sub> on **lexicographic** terms over  $\Sigma$  is defined by  $s >_{lpo} t$  iff **path order path order path order** 

**(LPO1)**  $t \in \mathcal{V}ar(s)$  and  $s \neq t$ , or **(LPO2)**  $s = f(s_1 \ldots s_m)$ ,  $t = g(t_1 \ldots t_n)$  and **(LPO2a)** there is i with  $s_i >_{lno} t$ , or **(LPO2b)**  $f > g$  and  $s >_{lmo} t_j$  for all  $1 \leq j \leq n$ , or **(LPO2c)**  $f = g$ ,  $s >_{lpo} t_j$  for all  $1 \leq j \leq n$  and there is  $1 \le i \le m$  with  $s_1 = t_1, \ldots, s_{i-1} = t_{i-1}$  and  $s_i >_{l m} t_i$ 

**Def.** (§**5.4.2, p. 121)** In the setting of the previous definition, the *multiset path order*  $>_{mpo}$  induced by the **multiset path or**strict order > is defined in the same way as the lexicographic path order, except that in clause (LPO2c) **der** the lexicographic comparison of children is replaced by a multiset order:

 $f = g, s >_{mpo} t_j$  for all  $1 \leq j \leq n$  and  $\{s_1 \ldots s_n\} >_{mul} \{t_1 \ldots t_n\}.$ 

For the *recursive path order with status*, each function symbol is assigned a *status* that decides whether **recursive path order with status status** its child terms are to be compared lexicographically or as multisets.

**Knuth-Bendix Orders**

**Def.** (§**5.4.4)** For a finite signature  $\Sigma$ , variable set V, and strict order  $>$  on  $\Sigma$ 

a *weight function*  $w\colon\Sigma\cup V\to \mathbb{R}_0^+$  *is admissible* for  $>$  iff it satisfies the properties: **weight function** 

- **admissible** 1. For all variables  $x \in V$ ,  $w(x) = w_0$  for some  $w_0 > 0$  and for all constants  $c \in \Sigma^{(0)}$  we have  $w(c) \geq w_0$ .
- 2. If f is a unary function (in  $\Sigma^{(1)}$ ) and  $w(f)=0,$  then f is the greatest element in  $\Sigma$ , i.e.  $f\geq g$  for all  $q \in \Sigma$ .

Let  $|t|_x$  and  $|t|_f$  denote the number of occurrences of variable x and symbol f, respectively, in term t.  $|t|_x$ We extend the weight function w to terms directly by considering the number of occurrences of each  $|t|_f$ symbol/variable and its respective weight.

$$
w(t) := \sum_{x \in \mathcal{V}ar(t)} w(x) \cdot |t|_x + \sum_{f \in \Sigma} w(f) \cdot |t|_f
$$

**Def. (5.4.18)** For finite signature  $\Sigma$  with strict order  $>$  and (admissible) weight function  $w\colon\Sigma\cup V\to\R_0^+ ,$ the *Knuth-Bendix order*  $>_{kbo}$  is defined by  $s >_{kbo} t$  iff **Knuth-Bendix or- Knuth-Bendix or- Knuth-Bendix or-**

**der**

**(KBO1)**  $|s|_x \ge |t|_x$  for all  $x \in V$  and  $w(s) > w(t)$  or **(KBO2)**  $|s|_x \ge |t|_x$  for all  $x \in V$  and  $w(s) = w(t)$ , and **(KBO2a)**  $s = f<sup>n</sup>(x)$  and  $t = x$  for some unary symbol f and variable x, or **(KBO2b)**  $s = f(s_1 \ldots s_m)$ ,  $t = g(t_1 \ldots t_m)$  and  $f > g$ , or

**(KBO2c)**  $s = f(s_1 \ldots s_n)$  and  $t = f(t_1 \ldots t_n)$ , and for some  $1 \le i \le n$ :  $s_1 = t_1 \ldots s_{i-1} = t_{i-1}$  and  $s_i >_{kbo} t_i$ .

## **Polynomial Interpretations**

**Def. (3.2.1)** For a given signature Σ, a Σ*-algebra* consists of a *carrier set* A and a mapping from function Σ**-algebra** symbols  $f \in \Sigma^{(n)}$  to functions  $f^\mathcal{A} \colon A^n \to A$  (for all  $n \geq 0$ ).  $\hbox{\bf \textsf{carrier} set}$ 

**Def. (5.3.1)** For non-empty  $\Sigma$ -algebra A and well-founded strict order  $>$  on the carrier set A, the order  $>$  4 on terms is defined by

 $s >_{\mathcal{A}} t$  iff  $\pi(s) > \pi(t)$  for all homomorphims  $\pi$ 

Note: the homomorphism is determined by it result for the variables, i.e. we are really working with *valuations*  $\pi : V \to A$  that are extended to terms in the obvious way: **valuations valuations** 

> $\hat{\pi}(x) = \pi(x)$  $\hat{\pi}(f(s_1 \ldots s_n)) = f(\hat{\pi}(s_1) \ldots \hat{\pi}(s_n))$

**Def. (5.3.2)** A function  $F : A^n \to A$  is called *monotone* (w.r.t. a strict order > on A) iff for all  $1 \le i \le n$  **monotone** 

 $a > b$  implies  $F(a_1 \ldots a_{i-1}, a, a_{i+1} \ldots a_n) > F(a_1 \ldots a_{i-1}, b, a_{i+1} \ldots a_n)$ 



*polynomial order*. **polynomial order**

# **Confluence**

## **Basic Definitions**



The critical pairs of between any two rules of a TRS  $R$  are denoted by  $\mathit{CP}(R)$ . Overlaps with renamed variants of rules with themselves are included, except at the root position.

# **References**

[1] Franz Baader and Tobias Nipkow. *Term rewriting and all that*. Cambridge University Press, Cambridge, 1998.