

### Exercise 24 (Equivalence Classes)

Let  $\Sigma = \{f, g\}$  and

$$E = \{f(f(x)) \approx f(x), g(f(x)) \approx f(x), f(g(x)) \approx g(x), g(g(x)) \approx g(x)\}$$

- Describe the equivalence classes of  $\approx_E$ .
- For each equivalence class  $[t]_{\approx_E}$ , determine a shortest term  $\hat{t}$  in  $[t]_{\approx_E}$ .
- Give a model for  $E$  that has more than one element.

### Solution

- We argue by using Birkhoff's theorem.

As  $\Sigma$  only contains unary function symbols, all terms in  $T(\Sigma, V)$  are repeated applications of the function symbols  $f$  and  $g$  to some variable  $x \in V$ .

An application of an equation in  $E$  (reduction relation  $\longleftrightarrow_E$ ) leaves the innermost function symbol unchanged. Thus, we get three equivalence classes for each variable  $x \in V$ :

- The set of all terms with  $f(x)$  as innermost subterm.
  - The set of all terms with  $g(x)$  as innermost subterm.
  - The singleton set  $\{x\}$ .
- The shortest subterms in the equivalence classes for variable  $x$  are  $f(x)$ ,  $g(x)$  and  $x$ , respectively.
  - We choose a  $\Sigma$ -algebra  $\mathcal{A}$  with carrier set  $A = \mathbb{N}$  and the following interpretations of the function symbols:  $f^{\mathcal{A}} = g^{\mathcal{A}} = id$ .

### Exercise 25 (Congruence Closure)

Let  $\Sigma = \{f, a, b\}$  and  $G = \{f(a, b) \approx a\}$ . Using congruence closure, decide whether the terms  $s$  and  $t$  are equivalent with respect to the equation set  $G$ . Use the abstract algorithm on equation sets.

- $s = f(f(a, b), b)$ ,  $t = a$
- $s = f(f(a, b), b)$ ,  $t = b$

## Solution

$$H_0 = G = \{f(a, b) = a\}$$

$$H_1 = \{R_a = a, b = b, f(a, b) = f(a, b), f(f(a, b), b) = f(f(a, b), b),$$

$$S_a = f(a, b), C f(f(a, b), b) = f(a, b)\}$$

$$H_2 = \{S f(a, b) = f(f(a, b), b),$$

$$T f(f(a, b), b) = a\}$$

At this point, we have shown  $f(f(a, b), b) = a$ .

$$H_3 = \{S a = f(f(a, b), b)\}$$

Here, the algorithm terminates and we get  $f(f(a, b), b) \neq b$ .

## Exercise 26 (Unification)

Let  $u$ ,  $x$ ,  $y$ , and  $z$  be variables. Use the unification algorithm recalled in the lecture to solve the following two unification problems:

$$\text{a) } S_1 = \{f(h(x), g(x, u)) \stackrel{?}{=} f(z, g(f(y, y), z))\}$$

$$\text{b) } S_2 = \{h(x, g(x, y), y) \stackrel{?}{=} h(x, g(a, y), y), z \stackrel{?}{=} h(x, g(x, b), b)\}$$

## Solution

We proceed by applying one of the four rules Delete, Decompose, Orient and Eliminate in each step.

a)

$$\{f(h(x), g(x, u)) \stackrel{?}{=} f(z, g(f(y, y), z))\} \implies \text{Decompose}$$

$$\{h(x) \stackrel{?}{=} z, g(x, u) \stackrel{?}{=} g(f(y, y), z)\} \implies \text{Decompose}$$

$$\{h(x) \stackrel{?}{=} z, x \stackrel{?}{=} f(y, y), u \stackrel{?}{=} z\} \implies \text{Orient}$$

$$\{z \stackrel{?}{=} h(x), x \stackrel{?}{=} f(y, y), u \stackrel{?}{=} z\} \implies \text{Eliminate}$$

$$\{z \stackrel{?}{=} h(x), x \stackrel{?}{=} f(y, y), u \stackrel{?}{=} h(x)\} \implies \text{Eliminate}$$

$$\{z \stackrel{?}{=} h(f(y, y)), x \stackrel{?}{=} f(y, y), u \stackrel{?}{=} h(f(y, y))\}$$

b)

$$\begin{aligned} \{h(x, g(x, y), y) \stackrel{?}{=} h(x, g(a, y), y), z \stackrel{?}{=} h(x, g(x, b), b)\} &\implies \text{Decompose} \\ \{x \stackrel{?}{=} x, g(x, y) \stackrel{?}{=} g(a, y), y \stackrel{?}{=} y, z \stackrel{?}{=} h(x, g(x, b), b)\} &\implies \text{Delete} \\ \{g(x, y) \stackrel{?}{=} g(a, y), z \stackrel{?}{=} h(x, g(x, b), b)\} &\implies \text{Decompose} \\ \{x \stackrel{?}{=} a, y \stackrel{?}{=} y, z \stackrel{?}{=} h(x, g(x, b), b)\} &\implies \text{Delete} \\ \{x \stackrel{?}{=} a, z \stackrel{?}{=} h(x, g(x, b), b)\} &\implies \text{Eliminate} \\ \{x \stackrel{?}{=} a, z \stackrel{?}{=} h(a, g(a, b), b)\} & \end{aligned}$$

### Homework 27 (Programming Assignment)

You are assigned to implement congruence closure in Haskell. Additional instructions can be found on the lecture web page.

### Homework 28 (More General Substitutions)

Let  $\sigma$  and  $\sigma'$  be substitutions such that each one is an instance of the other: Formally, we have both  $\sigma \lesssim \sigma'$  and  $\sigma \gtrsim \sigma'$ . Show that in this case, there must exist a renaming  $\rho$  (i.e., an injective substitution where  $\text{Ran}(\rho) \subseteq V$ ) such that  $\sigma = \rho\sigma'$ .