**Technische Universität München Institut für Informatik** Prof. Tobias Nipkow, Ph.D. Johannes Hölzl and Lars Hupel

## **Exercise 51 (Confluence of** $\beta$ -Reduction)

In the lecture we have shown the confluence of  $\longrightarrow_{\beta}$  using the diamond property of parallel  $\beta$ -reduction. In this exercise, we develop an alternative proof.

We define the operation \* on  $\lambda$ -terms inductively over the structure of terms:

$$\begin{aligned} x^* &= x\\ (\lambda x. t)^* &= \lambda x. t^*\\ (t_1 t_2)^* &= t_1^* t_2^* \quad \text{if } t_1 t_2 \text{ is not } \beta\text{-reducible.}\\ ((\lambda x. t_1) t_2)^* &= t_1^* [t_2^*/x] \end{aligned}$$

- a) Show that we have for two arbitrary  $\lambda$ -terms s and t:  $s > t \implies t > s^*$
- b) Show that  $\longrightarrow_{\beta}$  is confluent.

## Solution

a) The proof of

$$s > t \implies t > s^*$$

is done by structural induction on s.

```
1st case: s = x.
```

Let x > t. Hence t = x. Thus  $t = x > x = x^* = s^*$ .

**2nd case:**  $s = \lambda x.s_1$ .

Let  $\lambda x.s_1 > t$ . Hence  $t = \lambda x.t_1$  for an appropriate  $t_1$  that can be obtained from the inductive definition of >, and we have  $s_1 > t_1$ . As  $s_1$  is a subterm of s, the induction hypothesis implies  $t_1 > s_1^*$ . Thus we get  $\lambda x.t_1 > \lambda x.s_1^* = s^*$ .

**3rd case:**  $s = s_1 s_2$ , but no  $\beta$ -redex.

Let  $s_1s_2 > t$ . Then  $t = t_1t_2$  with  $s_1 > t_1$  and  $s_2 > t_2$  (again by the inductive definition of >) and the induction hypothesis implies  $t_1t_2 > s_1^*s_2^* = s^*$ .

**4th case:**  $s = (\lambda x.s_1)s_2$ .

Let  $(\lambda x.s_1)s_2 > t$ . Then there is  $t_1, t_2$  with  $s_1 > t_1$  and  $s_2 > t_2$  and we have  $t = (\lambda x.t_1)t_2$  or  $t = t_1[t_2/x]$ , depending on the rule used to derive s > t.

**Case 4.1**  $t = (\lambda x.t_1)t_2$ .

By induction hypothesis, we get  $t > s_1^*[s_2^*/x] = s^*$ .

Case 4.2  $t = t_1[t_2/x]$ .

By induction hypothesis, and the substitution property of > (Lemma 1.2.13), we get  $t = t_1[t_2/x] > s_1^*[s_2^*/x] = s^*$ .

b) Part a) immediately implies the diamond property of >: Let  $s > t_1$  and  $s > t_2$ . Then we have both,  $t_1 > s^*$  and  $t_2 > s^*$ .

## **Exercise 52** ( $\alpha$ -Equivalence)

Prove transitivity for  $\alpha$ -equivalence, that is, for all terms  $t_1, t_2, t_3$ ,

$$t_1 =_{\alpha} t_2 \implies t_2 =_{\alpha} t_3 \implies t_1 =_{\alpha} t_3$$

#### Solution

The proof proceeds by induction over  $=_{\alpha}$ , using the first premise  $t_1 =_{\alpha} t_2$ .

## Homework 53 (Parallel $\beta$ -Reduction)

In the lecture, we defined parallel  $\beta$ -reduction > inductively as follows:

$$s > s' \implies \lambda x.s > \lambda x.s'$$

$$s > s' \land t > t' \implies (s t) > (s' t')$$

$$s > s' \land t > t' \implies (\lambda x.s) t > s' [t'/x]$$

We also showed  $> \subseteq \stackrel{*}{\longrightarrow}_{\beta}$ . In this exercise, you have to show:  $\longrightarrow_{\beta} \subseteq >$ *Hint:* Use induction over the inductive definition of  $\longrightarrow_{\beta}$ .

# Homework 54 (Expanding lets)

We have a language with let-expressions, i.e.:

$$t = v \mid t \ t \mid \texttt{let} \ v = t \ \texttt{in} \ t$$

Write a program which expands all let-expressions, the let-semantics are:

$$(\texttt{let } v = t_1 \texttt{ in } t_2) = (\lambda v. t_2) t_1$$