

# Semantics of Programming Languages

## Exercise Sheet 8

### Exercise 8.1 Security type system: bottom-up with subsumption

Use the template file `ex08_tmpl.thy`.

Recall security type systems for information flow control from the lecture. Such a type systems can either be defined in a top-down or in a bottom-up manner. Independently of this choice, the type system may or may not contain a subsumption rule (also called anti-monotonicity in the lecture). The lecture discussed already all but one combination: a bottom-up type system with subsumption.

1. Define a bottom-up security type system for information flow control with subsumption rule.
2. Prove the equivalence of the newly introduced bottom-up type system with the bottom-up type system without subsumption rule from the lecture.

### Exercise 8.2 Definite Initialization Analysis

Use the template file `ex08_tmpl.thy`.

In the lecture, you have seen a definite initialization analysis that was based on the big-step semantics. Definite initialization analysis can also be based on a small-step semantics. Furthermore, the ternary predicate  $D$  from the lecture can be split into two parts: a function  $AA :: com \Rightarrow name\ set$  (“assigned after”) which collects the names of all variables assigned by a command and a binary predicate  $D :: name\ set \Rightarrow com \Rightarrow bool$  which checks that a command accesses only previously assigned variables. Conceptually, the ternary predicate from the lecture (call it  $D_{lec}$ ) and the two-step approach should relate by the equivalence  $D\ V\ c \longleftrightarrow D_{lec}\ V\ c\ (V \cup AA\ c)$

1. Study the already defined small-step semantics for definite analysis.
2. Define the function  $AA$  which computes the set of variables assigned after execution of a command. Furthermore, define the predicate  $D$  which checks if a command accesses only assigned variables, assuming the variables in the argument set are already assigned.
3. Prove progress and preservation of  $D$  with respect to the small-step semantics, and conclude soundness of  $D$ . You may use (and then need to prove) the lemmas  $D\_incr$  and  $D\_mono$ .

## Homework 8.1 A Typed Language

Submission until Tuesday, December 9, 2014, 10:00am.

Use the template file `hw08_tmpl.thy`.

We unify boolean expressions *bexp* and arithmetic expressions *aexp* into one expressions language *exp*. We also define a datatype *val* to represent either integers or booleans. We then give a type system and small semantics, your task is to show preservation and progress of the type system, i.e. replace all oops by valid proofs.

**Preparation 1:** We define unified values and expressions:

```
datatype val = Iv int | Bv bool
datatype exp =
  N int | V vname | Plus exp exp | Bc bool | Not exp | And exp exp | Less exp exp
```

Evaluation is now defined as inductive predicate only working when the types of the values are correct:

```
inductive eval :: "exp  $\Rightarrow$  state  $\Rightarrow$  val  $\Rightarrow$  bool" where
  "eval (N i) s (Iv i)" |
  "eval (V x) s (s x)" |
  "eval a1 s (Iv i1)  $\Longrightarrow$  eval a2 s (Iv i2)  $\Longrightarrow$  eval (Plus a1 a2) s (Iv (i1 + i2))" |
  "eval (Bc v) s (Bv v)" |
  "eval b s (Bv bv)  $\Longrightarrow$  eval (Not b) s (Bv ( $\neg$  bv))" |
  "eval b1 s (Bv bv1)  $\Longrightarrow$  eval b2 s (Bv bv2)  $\Longrightarrow$  eval (And b1 b2) s (Bv (bv1  $\wedge$  bv2))" |
  "eval a1 s (Iv i1)  $\Longrightarrow$  eval a2 s (Iv i2)  $\Longrightarrow$  eval (Less a1 a2) s (Bv (i1 < i2))"
```

**Preparation 2:** The small-step semantics are as before, we just replaced *aval* and *bval* with *eval*.

```
inductive
  small_step :: "(com  $\times$  state)  $\Rightarrow$  (com  $\times$  state)  $\Rightarrow$  bool" (infix " $\rightarrow$ " 55)
where
  Assign: "eval a s v  $\Longrightarrow$  (x ::= a, s)  $\rightarrow$  (SKIP, s(x := v))" |
  IfTrue: "eval b s (Bv True)  $\Longrightarrow$  (IF b THEN c1 ELSE c2,s)  $\rightarrow$  (c1,s)" |
  IfFalse: "eval b s (Bv False)  $\Longrightarrow$  (IF b THEN c1 ELSE c2,s)  $\rightarrow$  (c2,s)" |
  ...
```

**Preparation 3:** We introduce the type system.

```
datatype ty = Ity | Bty

type_synonym tyenv = "vname  $\Rightarrow$  ty"

inductive etyping :: "tyenv  $\Rightarrow$  exp  $\Rightarrow$  ty  $\Rightarrow$  bool"
  ("(1-/  $\vdash$ / (-  $\vdash$  -))")
where
  " $\Gamma \vdash N i : Ity$ " |
  " $\Gamma \vdash V x : \Gamma x$ " |
  " $\Gamma \vdash a_1 : Ity \Longrightarrow \Gamma \vdash a_2 : Ity \Longrightarrow \Gamma \vdash Plus a_1 a_2 : Ity$ " |
```

$\Gamma \vdash Bc\ v : Bty$  |  
 $\Gamma \vdash b : Bty \implies \Gamma \vdash Not\ b : Bty$  |  
 $\Gamma \vdash b_1 : Bty \implies \Gamma \vdash b_2 : Bty \implies \Gamma \vdash And\ b_1\ b_2 : Bty$  |  
 $\Gamma \vdash a_1 : Ity \implies \Gamma \vdash a_2 : Ity \implies \Gamma \vdash Less\ a_1\ a_2 : Bty$

**inductive** *ctyping* :: “*tyenv*  $\Rightarrow$  *com*  $\Rightarrow$  *bool*” (infix “ $\vdash$ ” 50) **where**

$\Gamma \vdash SKIP$  |  
 $\Gamma \vdash a : \Gamma\ x \implies \Gamma \vdash x ::= a$  |  
 $\Gamma \vdash c_1 \implies \Gamma \vdash c_2 \implies \Gamma \vdash c_1;;c_2$  |  
 $\Gamma \vdash b : Bty \implies \Gamma \vdash c_1 \implies \Gamma \vdash c_2 \implies \Gamma \vdash IF\ b\ THEN\ c_1\ ELSE\ c_2$  |  
 $\Gamma \vdash b : Bty \implies \Gamma \vdash c \implies \Gamma \vdash WHILE\ b\ DO\ c$

We define a state typing *styping* to describe the type context of a state.

**fun** *type* :: “*val*  $\Rightarrow$  *ty*” **where**

$type\ (Iv\ i) = Ity$  |  
 $type\ (Bv\ r) = Bty$

**definition** *styping* :: “*tyenv*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*” (infix “ $\vdash$ ” 50) **where**

$\Gamma \vdash s \longleftrightarrow (\forall x. type\ (s\ x) = \Gamma\ x)$

**Task 1:** Show preservation and progress on expressions:

**lemma** *epreservation*:  $\Gamma \vdash a : \tau \implies eval\ a\ s\ v \implies \Gamma \vdash s \implies type\ v = \tau$

**lemma** *eprogres*:  $\Gamma \vdash a : \tau \implies \Gamma \vdash s \implies \exists v. eval\ a\ s\ v$

**Task 2:** Show progress and preservation on commands:

**theorem** *progress*:  $\Gamma \vdash c \implies \Gamma \vdash s \implies c \neq SKIP \implies \exists cs'. (c,s) \rightarrow cs'$

**theorem** *styping\_preservation*:  $(c,s) \rightarrow (c',s') \implies \Gamma \vdash c \implies \Gamma \vdash s \implies \Gamma \vdash s'$

**theorem** *ctyping\_preservation*:  $(c,s) \rightarrow (c',s') \implies \Gamma \vdash c \implies \Gamma \vdash c'$

**theorem** *type\_sound*:

$(c,s) \rightarrow^* (c',s') \implies \Gamma \vdash c \implies \Gamma \vdash s \implies c' \neq SKIP \implies \exists cs''. (c',s') \rightarrow cs''$

## Homework 8.2 Terminating While Loops

*Submission until Tuesday, December 9, 2014, 10:00am.* The objective of this homework is to identify while loops of the form *while*  $x < n$  *do*  $c$ , such that  $x$  is a variable,  $n$  is a constant, and the execution of command  $c$  is guaranteed to increment  $x$ .

Your first task is to write a function that checks whether a command is guaranteed to increment a variable. Note: This predicate can only be an approximation. You are not required to use information of conditions, nor to track other variables than the regarded one.

Hint: An auxiliary function that checks whether a command is guaranteed to preserve the value of a variable may be helpful:

**fun** *invar* :: “*vname*  $\Rightarrow$  *com*  $\Rightarrow$  *bool*”

— True if command does not change value of variable

**fun** *incr* :: “*vname*  $\Rightarrow$  *com*  $\Rightarrow$  *bool*”

— True if command increments value of variable

Some tests for your approximation: These should all evaluate to True

**abbreviation** “ $x \equiv 'x'$ ” **abbreviation** “ $y \equiv 'y'$ ”  
**abbreviation** “ $L \equiv (\text{WHILE } (\text{Less } (V y) (N 2)) \text{ DO } y ::= \text{Plus } (V y) (N 1))$ ”  
**abbreviation** “ $I n \equiv x ::= \text{Plus } (V x) (N n)$ ”  
**value** “ $\text{incr } x (I 1;; I 2)$ ” **value** “ $\text{incr } x (I 1;; L)$ ”  
**value** “ $\text{incr } x (L ;; I 1)$ ” **value** “ $\text{incr } x (\text{IF } (\text{Less } (V y) (N 1)) \text{ THEN } I 1 \text{ ELSE } I 2)$ ”

You may return False on the following

**value** “ $\text{incr } x (I -1;; I 2)$ ” **value** “ $\text{incr } x (x ::= V x;; I 1)$ ”

Prove that your approximation is correct

**lemma** *incr*: “ $(c,s) \Rightarrow t \implies \text{incr } x c \implies s x < t x$ ”

Use your approximation to write a termination checker, that accepts programs where all the while-loops are of the form described above. You may formulate your termination checker as function or as inductive predicate. Both has its advantages and disadvantages:

**function** It is hard to forget some case, but you need to use the induction rule generated by the function, which does not have nice case names.

**inductive** The induction rule has nice case names, however, it is easy to forget some cases, and define a predicate that excludes too many terminating programs.

**inductive** “*term*” :: “*com*  $\Rightarrow$  *bool*” **where**

Prove that your termination checker only accepts terminating programs. Hint: The following induction rule may be helpful:

**lemma** *int\_less\_induct*:

**assumes** “ $\bigwedge i. i \geq k \implies P i$ ” **and** “ $\bigwedge i. i < k \implies (\bigwedge j. i < j \implies P j) \implies P i$ ”

**shows** “ $P(i::\text{int})$ ” — Proof provided in auxiliary file

Then prove the crucial auxiliary lemma, namely that whenever  $c$  always terminates and increments  $x$ , then also the while-loop *while* ( $x < k$ )  $c$  always terminates. You may use *int\_less\_induct* for an inductive argument over the difference of  $x$  and  $k$ .

**lemma** *term\_w*: **assumes** “ $\bigwedge s. \text{EX } t. (c,s) \Rightarrow t$ ” “*incr*  $x c$ ”

**shows** “ $\text{EX } t. (\text{WHILE } \text{Less } (V x) (N k) \text{ DO } c, s) \Rightarrow t$ ”

**proof**(*induction* “ $s x$ ” *arbitrary*:  $s$  *rule*: *int\_less\_induct*[of  $k$ ])

Finally, prove:

**theorem** “*term*  $c \implies \text{EX } t. (c,s) \Rightarrow t$ ”