

Semantics of Programming Languages

Exercise Sheet 3

Exercise 3.1 Reflexive Transitive Closure

A binary relation is expressed by a predicate of type $R :: 's \Rightarrow 's \Rightarrow bool$. Intuitively, $R\ s\ t$ represents a single step from state s to state t .

The reflexive, transitive closure R^* of R is the relation that contains a step $R^*\ s\ t$, iff R can step from s to t in any number of steps (including zero steps).

Formalize the reflexive transitive closure as an inductive predicate:

inductive *star* :: “($'a \Rightarrow 'a \Rightarrow bool$) $\Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ ”

When doing so, you have the choice to append or prepend a step. In any case, the following two lemmas should hold for your definition:

lemma *star_prepend*: “ $\llbracket r\ x\ y; star\ r\ y\ z \rrbracket \Longrightarrow star\ r\ x\ z$ ”

lemma *star_append*: “ $\llbracket star\ r\ x\ y; r\ y\ z \rrbracket \Longrightarrow star\ r\ x\ z$ ”

Now, formalize the star predicate again, this time the other way round:

inductive *star'* :: “($'a \Rightarrow 'a \Rightarrow bool$) $\Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ ”

Prove the equivalence of your two formalizations:

lemma “ $star\ r\ x\ y = star'\ r\ x\ y$ ”

Exercise 3.2 Avoiding Stack Underflow

A *stack underflow* occurs when executing an instruction on a stack containing too few values—e.g., executing an *ADD* instruction on an stack of size less than two. A well-formed sequence of instructions (e.g., one generated by *comp*) should never cause a stack underflow.

In this exercise, you will define a semantics for the stack-machine that throws an exception if the program underflows the stack.

Modify the *exec1* and *exec* - functions, such that they return an option value, *None* indicating a stack-underflow.

```

fun exec1 :: "instr  $\Rightarrow$  state  $\Rightarrow$  stack  $\Rightarrow$  stack option"
fun exec :: "instr list  $\Rightarrow$  state  $\Rightarrow$  stack  $\Rightarrow$  stack option"

```

Now adjust the proof of theorem *exec_comp* to show that programs output by the compiler never underflow the stack:

```

theorem exec_comp: "exec (comp a) s stk = Some (aval a s # stk)"

```

Exercise 3.3 A Structured Proof on Relations

We consider two binary predicates T and A and assume that T is total, A is antisymmetric and T is a subset of A . Show with a structured, Isar-style proof that then A is also a subset of T :

```

assumes "∀ x y. T x y ∨ T y x"
and "∀ x y. A x y ∧ A y x  $\longrightarrow$  x = y"
and "∀ x y. T x y  $\longrightarrow$  A x y"
shows "A x y  $\longrightarrow$  T x y"

```

Homework 3.1 A Simple Grammar

Submission until Monday, November 11, 2019, 11:11am.

You are given the following grammar:

$$S \rightarrow \varepsilon \mid aSb$$

Your first task is to formalize this grammar as an inductive definition in Isabelle:

```

abbreviation a where "a  $\equiv$  CHR 'a'"
abbreviation b where "b  $\equiv$  CHR 'b'"

```

```

inductive_set G :: "string set"

```

Our goal is to show that G produces the following language:

```

definition
  "L = {w.  $\exists n. w = replicate\ n\ a\ @\ replicate\ n\ b\}$ "

```

First prove this direction:

```

theorem G_is_replicate:
  assumes "w  $\in$  G"
  shows " $\exists n. w = replicate\ n\ a\ @\ replicate\ n\ b$ "

```

And now the converse:

```

theorem replicate_G:
  assumes "w = replicate n a @ replicate n b"
  shows "w  $\in$  G"

```

Finally, we can prove that G indeed produces L :

corollary *L_eq_G*:

“ $L = G$ ”

unfolding *L_def* **using** *G_is_replicate replicate_G* **by** *auto*

Homework 3.2 Register Machine from Hell

Submission until Monday, November 11, 2019, 11:11am.

Processors from Hell has released its next-generation RISC processor. It features an infinite bank of registers R_0, R_1 , etc, holding unbounded integers. Register R_0 plays the role of the accumulator and is the implicit source or destination register of all instructions. Any other register involved in an instruction must be distinct from R_0 . To enforce this requirement the processor implicitly increments the index of the other register. There are 4 instructions:

LDI i has the effect $R_0 := i$

LD n has the effect $R_0 := R_{n+1}$

ST n has the effect $R_{n+1} := R_0$

ADD n has the effect $R_0 := R_0 + R_{n+1}$

where i is an integer and n a natural number.

The instructions are specified by:

datatype *instr* = *LDI int* | *LD nat* | *ST nat* | *ADD nat*

The state of the machine is just a function from register numbers to values

type_synonym *rstate* = “ $\text{nat} \Rightarrow \text{int}$ ”

Define a function to execute a single instruction

fun *exec* :: “ $\text{instr} \Rightarrow \text{rstate} \Rightarrow \text{rstate}$ ” **where**

Lift your definition to lists of instructions

fun *execs* :: “ $\text{instr list} \Rightarrow \text{rstate} \Rightarrow \text{rstate}$ ” **where**

Show that *execs* commutes with *op @*. Hint: The [*simp*] - attribute declares this as a default simplifier rule, such that *simp* and *auto* will rewrite with this rule by default.

theorem *execs_append[simp]*: “ $\bigwedge s. \text{execs } (xs @ ys) s = \text{execs } ys (\text{execs } xs s)$ ”

Next, we want to write a compiler for arithmetic expressions. To simplify the mapping from variables to registers, we define variable names to be natural numbers.

datatype *expr* = *C int* | *V nat* | *A expr expr*

fun *val* :: “ $\text{expr} \Rightarrow (\text{nat} \Rightarrow \text{int}) \Rightarrow \text{int}$ ” **where**

$\text{“val}(C\ i)\ s = i\ \mid$
 $\text{“val}(V\ n)\ s = s\ n\ \mid$
 $\text{“val}(A\ e1\ e2)\ s = \text{val}\ e1\ s + \text{val}\ e2\ s\ \text{”}$

You have been recruited to write a compiler from *expr* to *instr list*. You remember your compiler course and decide to emulate a stack machine using free registers, i.e. registers not used by the expression you are compiling. The type of your compiler is

fun *cmp* :: “*expr* \Rightarrow *nat* \Rightarrow *instr list*” **where**

where the second argument is the index of the first free register and can be used to store intermediate results. The result of an expression should be returned in R_0 . Because R_0 is the accumulator, you decide on the following compilation scheme: Variable i will be held in R_{i+1} .

To actually compile an expression, you need to find an initial value for the free register index. Define a function that returns the maximum variable used in an arithmetic expression.

fun *maxvar* :: “*expr* \Rightarrow *nat*” **where**

Show that the value of expressions does not depend on variables greater than *maxvar*.

theorem *val_maxvar_same[simp]*:
 “*ALL* $n \leq \text{maxvar}\ e.\ s\ n = s'\ n \implies \text{val}\ e\ s = \text{val}\ e\ s'\ \text{”}$

Finally, prove that your compiler is correct. You will need to generalize the lemma to any free register index $>$ *maxvar* *e*.

Moreover, an auxiliary lemma may be useful, which states that a compiled program does not change registers less than the index of the first free register.

Hint: Beware of off-by-one errors introduced by the implicit increment of the register index. The register indexes in the state are shifted by one wrt. the registers in the instructions!

theorem *compiler_correct*: “*execs* (*cmp* *e* (*maxvar* *e* + 1)) *s* 0 = *val* *e* (*s* o *Suc*)”